

# Operator Fronting in Hungarian and the typology of control from an LFG perspective

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# 1. Introduction

Operator Fronting in Hungarian

# 1. INTRODUCTION

An element that semantically belongs to the embedded clause, appears in the main clause.

(1)            (*Azt*)        *mondtad, hogy*        *János jön.*  
                 that.ACC    said.2SG    that(c)    John    comes  
                 ‘You said (it) that John comes.’

(2) a.        ***János***        *mondtad, hogy*        *jön.*  
                 John.NOM    said.2SG    that(c)    comes  
                 b.        ***János-t***        *mondtad, hogy*        *jön.*  
                 John-ACC    said.2SG    that(c)    comes  
                 ‘(Of) John you said that he comes.’

- The fronted element usually bears some discourse function (topic, focus).
- Some previous accounts: Kenesei (1994), Lipták (1998), Gervain (2002), Coppock (2003), Jánosi (2013).

# 1. INTRODUCTION: 2 TYPES OF OPERATOR FRONTING

- (2) a. *János* *mondta*, *hogy* *jön*.  
John.NOM said.2SG that(c) comes
- b. *János-t* *mondta*, *hogy* *jön*.  
John-ACC said.2SG that(c) comes  
'(Of) John you said that he comes.'

## Contrasts between the two types:

- Island sensitivity
- Reconstruction
- Agreement patterns
- Licensing verbs
- Idiom-chunks

# 1. INTRODUCTION: 2 TYPES OF OPERATOR FRONTING

- (3) a. \***János** *mondta*, *hogy* *hallottad* *a hírt*,  
 John.NOM said.2SG that(c) heard.2SG the news  
*hogy jön.*  
 that(c) comes.
- ISLAND b. **János-t** *mondta*, *hogy* *hallottad* *a hírt*,  
 John-ACC said.2SG that(c) heard.2SG the news  
*hogy jön.*  
*that(c) comes*  
 '(Of) John you said that you heard the news that he comes.'

- (4) a. **Egymás** *szülei* *mondta*, *hogy* *elszomorította*  
 each.other parents.poss said.2SG that(c) saddened.3SG  
*a fiúkat.*  
 the boys.ACC
- RECONSTRUCTION b. \***Egymás** *szülei-t* *mondta*, *hogy*  
 each.other parents.poss-ACC said.2SG that(c)  
*elszomorította a fiúkat.*  
 saddened.3SG the boys.ACC  
 '(Of) Each other's parents you said that they saddened the boys.'  
 (backward binding of experiencers)

# 1. INTRODUCTION: 2 TYPES OF OPERATOR FRONTING

- **Agreement-variation:** only with the accusative version.

(5) *Az összes lány mondtad, hogy jön / \*jönnek.*  
the all girl.NOM said.2SG that(c) come.3SG / come.3PL  
'(Of) all the girls you said that they come.'

(6) *Az összes lány-t mondtad, hogy jön / jönnek.*  
the all girl-ACC said.2SG that(c) come.3SG / come.3PL  
'(Of) all the girls you said that they come.'

- **Licensing verbs:** with the accusative version, several non-bridge verbs are acceptable.

(7) *\*János képtelted/ sérelmezted, hogy jön.*  
John.NOM doubted.2SG resented.2SG that(c) comes

(8) *János-t képtelted/ sérelmezted, hogy jön.*  
John-ACC doubted.2SG resented.2SG that(c) comes  
'(Of) John you doubted/resented that he comes.'

# 1. INTRODUCTION: 2 TYPES OF OPERATOR FRONTING

- Idioms: not acceptable in either version, for different reasons.

(9) *Jánost elkapta a gépszíj.*  
John.ACC caught.3SG the driving.belt  
'The driving belt caught John.' → 'John has to work a lot.'

(10) \**Mondtad a gépszíj, hogy elkapta Jánost.*  
said.2SG the driving.belt.NOM that(c) caught.3SG John.ACC

(11) #*Mondtad a gépszíj-at, hogy elkapta Jánost.*  
said.2SG the driving.belt-ACC that(c) caught.3SG John.ACC  
'(Of) the driving belt you said that it caught John.'

(Hungarian preverbal positions are associated with discourse-functions.)



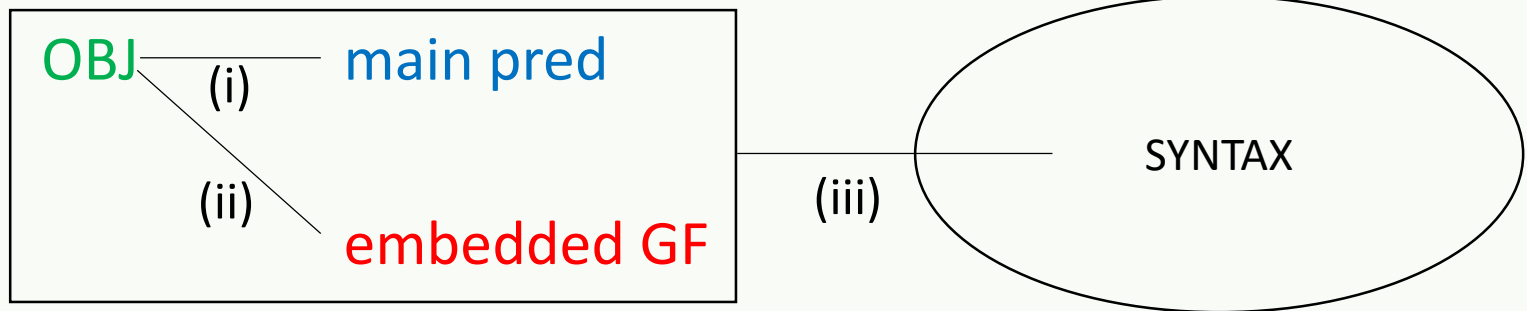
# 1. INTRODUCTION: 2 TYPES OF OPERATOR FRONTING

- (2) a. **János**      *mondta, hogy jön.*  
John.NOM    said.2SG that(c) comes
- b. **János-t**      *mondta, hogy jön.*  
John-ACC    said.2SG that(c) comes  
'(Of) John you said that he comes.'

- 2a: a proper functional dependency (“movement”-like properties)
- 2b: a “base-generated” construction → **our main focus**

# 1. INTRODUCTION: THE RESEARCH FOCUS

- (2) b. *János-t<sub>i</sub> mondtad, hogy \_\_\_<sub>i</sub> jön.*  
John-ACC said.2SG that(c) comes  
'(Of) John you said that he comes.'



## MAIN QUESTIONS

- What is the relationship between the main predicate and the accusative-marked element? (i)
- What is the relationship between the accusative-marked element and the coreferent embedded GF? (ii)
- How does the construction fit into general syntactic theory? (iii)

## 2. LFG accounts

What is the relationship between the main predicate and the accusative-marked element?

What is the relationship between the accusative-marked element and the coreferent embedded GF?

## 2. LFG ACCOUNTS: COPPOCK (2003)

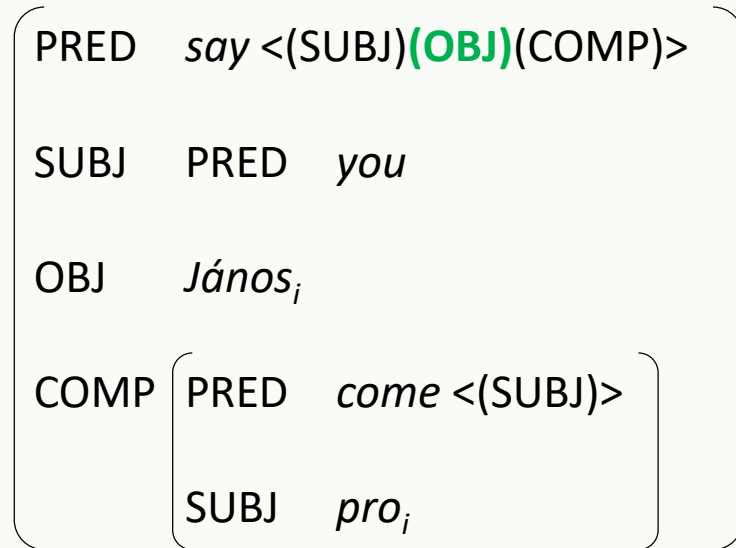
(2) b. *János-t mondtad, hogy jön.*  
John-ACC said.2SG that(c) comes  
'(Of) John you said that he will come.'

PRED	<i>say</i> <(SUBJ)(COMP)> <b>(OBJ)</b>				
SUBJ	PRED <i>you</i>				
OBJ	PRED <i>János<sub>i</sub></i>				
COMP	<table><tr><td>PRED</td><td><i>come</i> &lt;(SUBJ)&gt;</td></tr><tr><td>SUBJ</td><td><i>pro<sub>i</sub></i></td></tr></table>	PRED	<i>come</i> <(SUBJ)>	SUBJ	<i>pro<sub>i</sub></i>
PRED	<i>come</i> <(SUBJ)>				
SUBJ	<i>pro<sub>i</sub></i>				

### FEATURES OF THE ANALYSIS

- Non-thematic main clause OBJ
- Anaphoric identification (→ agreement mismatch, see later)
- Violation of Semantic Coherence → posits that Semantic Coherence is a violable OT-style constraint.
- Does not account for the impossibility of idiom-chunks.

## 2. LFG ACCOUNTS : THE PROLEPTIC ACCOUNT



### FEATURES OF THE ANALYSIS

- Szűcs (2017).
- Thematic main clause OBJ → semantically coherent.
- **PROLEPSIS:** “a structural complement of the matrix verb is semantically related to the predicate of a finite embedded clause” (Salzmann (2017)).

### IS THERE INDEPENDENT EVIDENCE FOR A THEMATIC OBJECT?

- No idiom chunks (10-11).
- The accusative pronoun in standard subordinate clauses is contentful.
- Ellipsis (see later).

## 2. LFG ACCOUNTS : THE PROLEPTIC ACCOUNT

### PRONOUNS ASSOCIATED WITH SUBORDINATE CLAUSES ARE CONTENTFUL IN HUNGARIAN

(1) a. Azt mondtad, hogy János jön.  
that.ACC said.2SG that(c) John comes  
'You said that John comes.'

b. \*(Jánost) azt mondtad (Jánost), hogy jön.

- Kenesei (1994) vs. Tóth (2000), Rákosi & Laczkó (2005), Szűcs (2015).

- *predicate* <(SUBJ)(COMP)>OBJ vs. <(SUBJ)(OBJ)>

- Discourse functions.
- Coordination with standard thematic objects.
- Argument-adjunct asymmetries (also cross-linguistically).

## 2. ACCOUNTS: THE PROLEPTIC ACCOUNT

**PRONOUNS ASSOCIATED WITH SUBORDINATE CLAUSES ARE CONTENTFUL IN HUNGARIAN** – Discourse functions (12) (vs. canonical expletives, (13))

(12) *János CSAK AZT mondja, hogy hazamegy.*  
John only that.ACC says that(c) home.goes  
'John only says that he goes home.'

(13)a. \***Only it** seems that John is smart.

b. \***Only it** rains a lot nowadays.

**PRONOUNS ASSOCIATED WITH SUBORDINATE CLAUSES ARE CONTENTFUL IN HUNGARIAN** – Canonical objects

(14) *Fontolgom a lemondást és azt,*  
contemplate.1SG the resignation.ACC and that.ACC  
*hogy elutazom.*  
that(c) away.travel.1SG  
'I'm contemplating about resignation and that I travel somewhere.'

## 2. ACCOUNTS: THE PROLEPTIC ACCOUNT

**PRONOUNS ASSOCIATED WITH SUBORDINATE CLAUSES ARE CONTENTFUL IN HUNGARIAN** – Argument-adjunct asymmetries

(15) *Hova mondod (\*azt) hogy mész \_\_\_\_\_?*  
where say.2SG that.ACC that(c) go.2SG  
'Where do you say (**\*it**) that you go?'

- Pronoun is present → clause is an adjunct → no extraction.

Pronoun is absent → clause is an OBJ argument.

- See also Bennis (1986), Berman (2001).

(16)a. *weil er (es) gesagt hat, dass Hans krank ist*  
because he it said have that(C) Hans ill is  
'because he said that Hans is ill'

b *Was hat er (\*es) gesagt, dass er gelesen hat?*  
what has he it said that he read has  
'What did he say that he read?'



## 2. ACCOUNTS: THE PROLEPTIC ACCOUNT

**THE PROLEPTIC OBJECT IS THEMATIC** – evidence from complement ellipsis (17 is based on Bresnan (1982: 71-72))

(17) a. *Someone had to wash my car. I persuaded John (to wash my car).*

b. *Someone stole my car. I believed John \*(to have stolen my car.)* → incoherence

(18) A: *Szerintem János a legokosabb.*  
in.my.opinion John the smartest.

'I think John is the smartest.'

B: *De eddig te Pétert mondtad (hogy ő a legokosabb).*  
but so.far you Peter.ACC said.2SG that(c)  
he the smartest

'But so far you said (of) Peter (that he is the smartest).'

## 2. ACCOUNTS: THE PROLEPTIC ACCOUNT

### AGREEMENT

(6) *Az összes lányt mondtad, hogy jön / jönnek.*  
the all girl.ACC said.2SG that(c) come.3SG / come.3PL  
'(Of) all the girls you said that they come.'

- The variation may be observed with quantified nominals.
- Wechsler & Zlatic (2003): Concord vs. Index vs. Semantic/Pragmatic agreement.
- (6) is an instance of index-semantics mismatch.
- In Hungarian, quantified nominals have singular index, but are semantically plural.

(19)a. [*Az összes lány/ Két lány*] [*jön / \*jönnek*].  
the all girl two girl come.3SG come.3PL  
'All the girls come.'

b. *Én hívtam meg \*őt/ őket.*  
I invited PRT her them  
'I invited them.'

## 2. ACCOUNTS: THE PROLEPTIC ACCOUNT

### AGREEMENT

- Prolepsis involves anaphoric binding.
- “The only value shared between a pronoun and its antecedent is the index value. (...) Concord agreement for personal pronouns is impossible. (...) A pronoun and its antecedent is closely related semantically. (...) This invites the possibility of pragmatic/semantic agreement.” (Wechsler & Zlatic 2003:84)
- Semantic/Pragmatic agreement in OF favors a collective interpretation.

(20)a *Két fiút mondtál, hogy vitt egy bőröndöt.*  
two boy.ACC said.2SG that(c) carried.3SG a suitcase.ACC  
'(Of) two boys you said that they carried a suitcase.'

→ distributive or collective reading

b *Két fiút mondtál, hogy vittek egy bőröndöt.*  
two boy.ACC said.2SG that(c) carried.3PL a suitcase.ACC  
'(Of) two boys you said that they carried a suitcase.'

→ collective reading is strongly favored

## 2. ACCOUNTS: THE PROLEPTIC ACCOUNT

### ARGUMENT-STRUCTURE

- Valency-increasing with an OBJ.

$\langle(\text{SUBJ})(\text{OBJ})\rangle \rightarrow \langle(\text{SUBJ})(\text{OBJ})(\text{COMP})\rangle$

- Obligatory co-reference of the added OBJ with an embedded argument.

OBJ INDEX={COMP<sup>+</sup> GF\*} GF INDEX (long-distance)

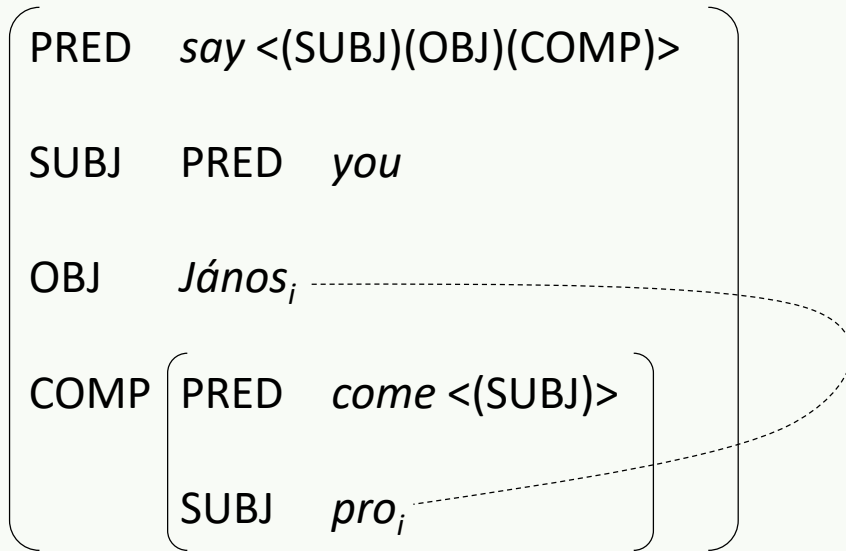
- The newly added OBJ has a “subject matter” theta-role (Pesetsky 1995).
- A matter to lexical variation, much depends on whether the base verb is compatible with a relative-marked dependent.

(21) a. *mond* (x-ről) – ‘say (of x)’

b. *parancsol* (\*x-ről) – ‘command (\*of x)’

# **3. Prolepsis:** an overview

# 3. PROLEPSIS: AN OVERVIEW



## PROLEPSIS

- “a structural complement of the matrix verb is semantically related to the predicate of a finite embedded clause” (Salzmann (2017)).
- Origin of the term: rhetorics (“anticipation”)

- Higgins (1981), Massam (1985).
- Davies (2005) brought the term into theoretical limelight.
- Often contrasted to raising/ECM structures.
  - Idioms
  - Productivity
  - Island-effects
  - Semantics (e.g. passive)

# 3. PROLEPSIS: AN OVERVIEW

## English (Massam 1985)

- (22) *I read of Carrol that she was awfully shy.*
- (23) *And God saw the light, that it was good.*
- (24) *Whom do you suggest should be the president?*  
(Chomsky 1981)

## Madurese (Davies 2005)

- (25) *Siti ngera Hasan bari' melle motor.*  
Siti think Hasan yesterday buy car  
'Yesterday Siti thought about Hasan<sub>i</sub> that he<sub>i</sub> bought a car.'

## Greek (Kotzoglou & Papangeli 2007)

- (26) *Theoro ton jani pos ine eksipnos.*  
consider the John.ACC that(c) he smart  
'I consider John to be smart. / I believe of John that he is smart.'

Prolepsis has been also suggested in German, Japanese, Korean.

# 3. PROLEPSIS: AN OVERVIEW

## SUMMARIZING PROLEPSIS

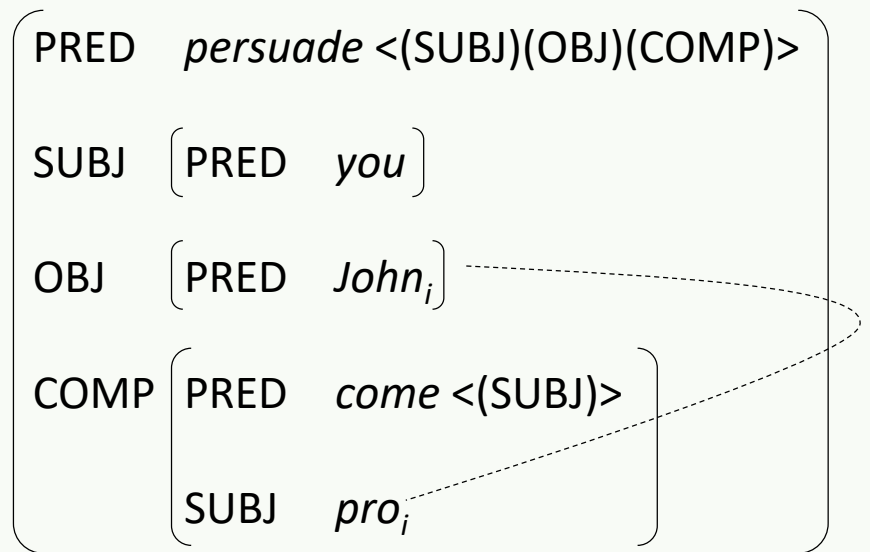
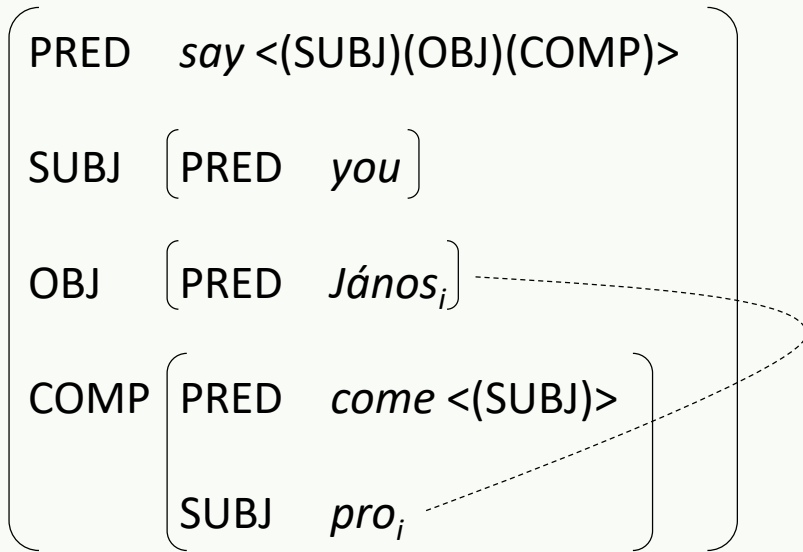
- 3 dependents for the matrix verb: SUBJ, (proleptic) OBJ, COMP.
- Referential identity of the proleptic OBJ with an embedded argument.

## Points of divergence

- Argument (direct object) vs. adjunct (oblique/PP) proleptic element.
- Productivity.
- Restrictions on GF and distance (Greek: only immediately embedded SUBJ may be the coreferent GF).



# 3. PROLEPSIS: AN OVERVIEW



*János-t mondtad, hogy jön.*  
 John-ACC said.2SG that(c) comes

*You persuaded John to come.*

- Thematic OBJ
- Anaphoric identification
- Obligatory co-reference

## Differences

- Finiteness
- Distance
- The controlled GF

→ PROLEPSIS AS A CONTROL-STRUCTURE?

# 3. PROLEPSIS: AN OVERVIEW

## SIMILARITIES BETWEEN CANONICAL CONTROL AND PROLEPSIS

- Obligatory co-reference

(2) b. *Jánost<sub>i</sub> mondtad, hogy pro<sub>i/\*j</sub> jön.*  
John.ACC said.2SG that(c) comes  
'(Of) John you said that he comes.'

- Bound variable interpretation (based on Landau 2013)

(27) *Only Bill forced himself to jump.* (Bill = Only x [x forced x to jump].)

(28) *Csak János mondta magát, hogy (ő) nyert.*  
only John said.2SG himself.ACC that(c) he won.3SG  
'Only John said (of) himself that he had won.'

- Sloppy interpretation under ellipsis (based on Landau 2013)

(29) *Mary encouraged Paul<sub>i</sub> PRO<sub>i</sub> to attend the ceremony, but not David<sub>j</sub> (encourage PRO<sub>\*i/j</sub> to attend the ceremony).*

(30) *Én Jánost<sub>i</sub> mondtam, hogy jön, te pedig Pétert<sub>j</sub>*  
I John.ACC said.1SG that(C) comes you but Péter.ACC  
*(mondtad, hogy PRO<sub>\*i/j</sub> jön).*  
said.2SG that(C) comes  
'(Of) John I said that he comes and you did so of Peter.'

# 3. PROLEPSIS: AN OVERVIEW

## Differences

- Finiteness → semi-finite control has already been attested e.g. in Zec (1987).

- Distance

(31) *Jánost mondtad, hogy hallottad, hogy jön.*  
John.ACC said.2SG that(c) heard.2SG that(c) comes  
'(Of) John you said that you heard that he comes.'

(32) \**John persuaded Mary<sub>i</sub> for John to want for her<sub>i/j</sub> to go out.*

- The controlled GF

(33) \**I persuaded Mary for John to like.*

(34) *Párizst<sub>i</sub> mondtad, hogy [OBL oda<sub>i</sub>] utazol.*  
Paris.ACC said.2SG that(C) there travel.2SG.  
'(Of) Paris you said that you will go there.'

# 5. Control typology

How does the construction fit into  
general syntactic theory?

# 5. CONTROL TYPOLOGY

- Control in LFG terminology: raising & equi.
- Axes of the classification:
  - Thematicity of the controller (raising vs. equi)
  - Finiteness of the controlled clause (finite vs. nonfinite)
  - Nature of identification (functional vs. anaphoric)

➤ Thematicity of the controller (raising vs. equi):

(35) *John seems to be happy.*

(36) *John tries to be happy.*

➤ Finiteness: having tense/agreement features.

# 5. CONTROL TYPOLOGY

- Nature of identification (functional vs. anaphoric)
    - Functional control: full identity → always exhaustive
    - Anaphoric control: referential dependency → scale of obligatoriness
      - obligatory – “quasi”-obligatory (Haug 2013) – no control
- (37) *John tried/agreed finish earlier.* → which one?
- Dalrymple (2001): anaphoric
  - Falk (2001): depends on the verb, *try* involves functional control, *agree* involves anaphoric control
- (38) a. *\*It was tried to finish earlier.* → missing controller  
b. *It was agreed to finish earlier.* → discourse control of  $pro_{arb}$  is possible

CONTROL TYPE		EXAMPLE	
Raising	Finite complement	Functional identification	Copy Raising & Hyperraising
		Anaphoric identification	expected not to exist
	Non-finite complement	Functional identification	Canonical raising
		Anaphoric identification	expected not to exist
Equi	Finite complement	Functional identification	Object control in Turkish
		Anaphoric identification	Prolepsis
	Non-finite complement	Functional identification	Canonical equi ( <i>try</i> )
		Anaphoric identification	Canonical equi ( <i>agree</i> )

# 5. CONTROL TYPOLOGY

## COPY RAISING, HYPERRAISING (RAISING, FINITE, FUNCTIONAL)

(39)a.  $DP_i [IP_{finite} \text{resumptive}_i]$       b.  $DP_i [IP_{finite} \text{_____}_i]$

### • Copy Raising

(40) a.  $\acute{O}$      $di$              $m$      $[k\grave{a}$      $\acute{E}z\grave{e}$      $h\ddot{u}r\ddot{u}$      $Ad\acute{a}]$ . (Igbo, Ura 1998)  
EXPL    seems    to.me    that    Eze    saw    Ada  
'It seems to me that Eze saw Ada.'

b.  $\acute{E}z\grave{e}_i$      $di$              $m$      $[k\grave{a}$      $\phi_i$      $h\ddot{u}r\ddot{u}$      $Ad\acute{a}]$ .  
Eze    seems    to me    that    he    saw    Ada  
approx.: 'Eze seems to me that he saw Ada.'

For English, see Asudeh & Toivonen 2012 vs. Landau 2011.

(41)    *John seems like he smokes.*



# 5. CONTROL TYPOLOGY

## COPY RAISING, HYPERRAISING (RAISING, FINITE, FUNCTIONAL)

- **Hyperraising**

- Carstens & Diercks (2013)

- Raising from a fully finite (+tense, +agr) complement clause

(42) a. *Ka-lolekhana mbo chisaang'i chi-kona.* (Lubukusu)

SM-seem that animal SM-sleep.PRES

'It seems that the animals are sleeping.'

b. *Chisaang'i chi-lolekhana mbo chi-kona*

animal SM-seem that(C) SM-sleep.PRES

'The animals seem to be sleeping.'

(43) a. *Bi-bonekhana koti eng'ombe chi-ng'were amachi.*

SM-appear that cow SM-drink water

'It appears that the cows drank the water.'

b. *Eng'ombe chi-bonekhana chi-ng'were amachi.*

cow SM-appear SM-drink water

'The cows appear to have drunk the water.' (Lusaamia)

# 5. CONTROL TYPOLOGY

## COPY RAISING, HYPERRAISING (RAISING, FINITE, FUNCTIONAL)

“An important empirical generalisation, first noted by Ura (1994), which is empirically supported by the data discussed in this thesis, is that if a language has Hyperraising or Hyper-ECM, it is also a pro-drop language. On the basis of this generalisation, it is argued that Hyperraising and Hyper-ECM constructions involve the use of resumptive *pro* in the embedded subject position, while languages with Copy raising and Copy ECM use overt pronouns. Apart from this difference, it is argued that these A-movement constructions are identical in all crucial respects.” (Ademola-Adeoye 2010)

- Copy raising and Hyperraising are amenable to the the same structural analysis.
- Copy raising occurs in languages with a strong overt subject requirement, hyperraising occurs in “pro-drop” languages.
- No general requirement on filled object-positions → no Copy Raising to object, only Hyperraising to object.

# 5. CONTROL TYPOLOGY

## Turkish (equi, finite, functional) (Ince 2006)

(44) *Ben Ali-yi yarın balığı yiyecek sanıyordum.*  
I Ali-ACC tomorrow fish eat.FUT.3SG assumed.1SG  
'I thought that Ali will eat the fish tomorrow.'

(45)a. *Alinin anası bellendi.*  
Ali.GEN his.mother was.screwed.3SG  
Idiomatic: 'They really messed up Ali.'  
Literal: 'They raped Ali's mother.'

b. *Alinin anası-nı bellendi sanıyordum.*  
Ali.GEN his.mother-ACC was.screwed.3SG assumed.1SG  
Only literal: 'I thought that Ali's mother was raped.'

- Only controlled SUBJs.
- Functional control ("structure-sharing") is more tightly regulated by UG than anaphoric dependencies (syntax vs. semantics).

## 6. Summary

## 6. SUMMARY

(2) b. *János-t mondtad, hogy jön.*  
John-ACC said.2SG that(c) comes  
'(Of) John you said that he will come.'

- What is the relationship between the main predicate and the accusative-marked element? **Thematic argumenthood.**
  - What is the relationship between the accusative-marked element and the coreferent embedded GF? **Obligatory anaphoric control.**
  - How does the construction fit into general syntactic theory? **It's a finite, anaphorically-controlled equi structure.**
- 
- Further avenues:
    - The connection to applicatives. (valency-increasing with an OBJ)
    - A better understanding of various anaphorically controlled constructions (e.g. partial, split control) and agreement patterns.
    - Improve the typology of long-distance dependencies in LFG.

**THANK YOU FOR YOUR  
ATTENTION!**

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# CONTROL TYPOLOGY

## SEMI-FINITE STRUCTURES

- Subjunctive complements (dependent tense).
- Overt embedded subjects are possible.

### Serbo-Croatian (Zec 1987) (equi, semi-finite, anaphoric)

(46) *Petar<sub>i</sub> je pokusao da PRO<sub>i/\*j</sub> dodje na vreme.*  
Peter AUX tried.3SG that(C) comes on time  
'Peter tried to come on time.'

(47) *Ana<sub>i</sub> je naterala Mariju<sub>j</sub> da one<sub>\*i/j</sub> dodje.*  
Anna AUX forced.3SG Maria.ACC that(C) she comes  
'Anna forced Marija that she should come.'

### Greek (Spyropoulos 2007) (equi, semi-finite, anaphoric)

(48) *o janis<sub>i</sub> prospavise na PRO<sub>i/\*j</sub> ftasi noris*  
the John tried.3SG SBJV arrives early  
'John tried to arrive early.'

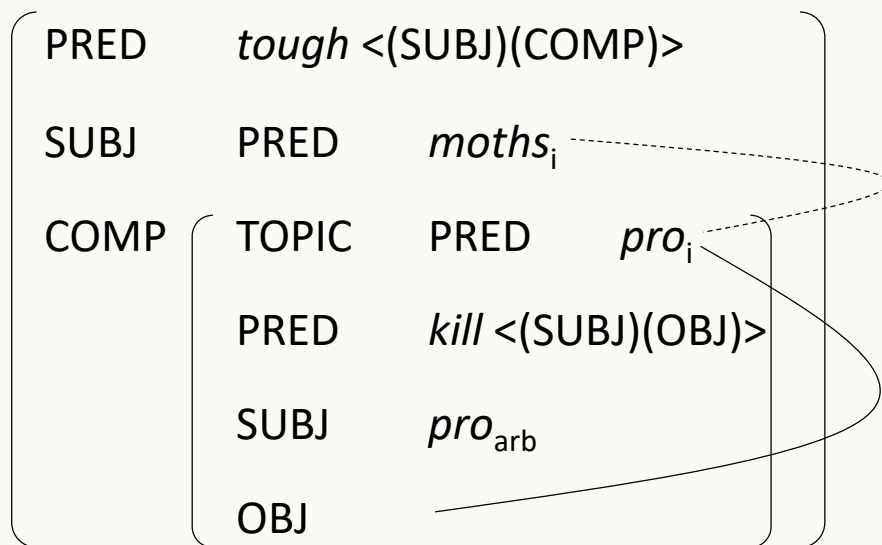
# PROLEPSIS: AN OVERVIEW

## DIFFERENCES

**GF & finiteness:** connection with English *tough*-constructions?  
(Dalrymple & King 2000)

(49) *Moths are hard to kill.*

(50) *Mary is tough for me to believe that John would ever marry.*



- Thematic main clause SUBJ
- Anaphoric control of COMP TOPIC
- Long-distance functionally controlled OBJ